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1 **RESEARCH ARTICLE**

2 **An efficient incremental algorithm for generating the**
3 **characteristic shape of a dynamic set of points in the plane**

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9 Several algorithms have been proposed to generate a polygonal “footprint” to
10 characterize the shape of a set of points in the plane. One widely-used type
11 of footprint is the χ -shape. Based on the Delaunay triangulation, χ -shapes
12 guaranteed to be simple (Jordan) polygons. This paper presents for the first
13 time an incremental χ -shape algorithm, capable of processing point data
14 streams. Our incremental χ -shape algorithm allows both insertion and deletion
15 operations, and can handle streaming individual points and multiple point
16 sets. The experimental results demonstrated that the incremental algorithm
17 is significantly more efficient than the existing, batch χ -shape algorithm for
18 processing a wide variety of point data streams.

19 **Keywords:** incremental algorithm; pattern recognition; footprint; non-convex; χ -shape

20 **1. Introduction**

21 The χ -shape (Duckham *et al.* 2008) algorithm is a widely-used, efficient method for
22 creating potentially non-convex “footprints” that characterize the shape of a set of points
23 in a plane. The χ -shape algorithm is different from other footprints algorithms in that it
24 is guaranteed to generate simple, regular (Jordan) polygons, i.e., without holes, isolated
25 points, lines, or disconnected components.

26 The χ -shape algorithm starts with the Delaunay triangulation (DT) of a set of input
27 points P and iteratively removes the longest exterior edge of the triangulation that does
28 not cause irregularity. However, because of this method of construction, χ -shapes are
29 more difficult than other footprint algorithms to calculate incrementally. Once an change
30 occurs in the sequence of removed edges, it can lead to a cascade effect on the removal
31 order of exterior edges. A change may cause some previously non-removable edges to

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32 become removable, and some previously removed edges to be become non-removable
33 and retained in the new χ -shape. In turn, these changes may lead to further changes in
34 exterior edges. As a consequence of this “edge cascade,” even though incremental DT
35 algorithms have been well-established (Guibas *et al.* 1992, Lischinski 1994), calculating
36 χ -shape incrementally remains a challenge.

37 The main contribution of this paper is to solve the “edge cascade” problem in the
38 χ -shape algorithm, and to enable incremental generation of χ -shapes. A tree structure
39 is proposed to represent the χ -shape. Then an algorithm is developed to incrementally
40 generate the updated χ -shape by making the minimum number of modifications to the
41 tree. By only making the necessary changes, which require far fewer operations than
42 recomputing the χ -shape for the entire data set, the incremental algorithm affords
43 significantly improved computational efficiency. The performance of the incremental
44 χ -shape algorithm is evaluated empirically. The results demonstrate the valuable
45 properties of the incremental χ -shape algorithm to guide usage.

46 Following a review of the background literature in Section 2, the incremental χ -shape
47 algorithm is described in Section 3. Empirical evaluation experiments are designed in
48 Section 4 to investigate the efficacy of the incremental χ -shape algorithm in different
49 circumstances. Section 5 discusses the highlights of the incremental χ -shape algorithm.
50 Finally, Section 6 provides the final conclusions.

51 2. Background

52 2.1. Motivation

53 Data sets of spatial points in a plane $P \subset \mathbb{R}^2$ are common in many real-life applications,
54 such as geo-reference social media data (Sakaki *et al.* 2010, Vieweg *et al.* 2010, Yates and
55 Paquette 2011, Crooks *et al.* 2013), emergency call data (Zhong *et al.* 2016a), volunteered
56 geographic information (Goodchild and Glennon 2010, Poser and Dransch 2010, Schade
57 *et al.* 2013), and species distribution data (Busby 1991, Guo *et al.* 2005, Leathwick *et al.*
58 2005, Phillips *et al.* 2006). The points are often observations of spatial regions. Hence
59 many algorithms have been proposed to reconstruct the spatial regions from the points
60 by constructing polygonal shapes that characterize the distribution of the points. Such
61 regions are called “footprints” of P . Non-convex footprints were proposed to handle cases
62 where the distribution of points is markedly non-convex. In these cases, there can be no
63 single “best” footprint. Rather, the accuracy of a footprint may depend on the specific
64 application, or on human cognition and preference. Hence there is a variety of non-convex
65 footprint algorithms which can be parameterized to generate the desired footprint.

66 In many cases, the point set P can be large and highly dynamic. For example,
67 geo-reference social media data is extremely plentiful and generated rapidly (Manovich
68 2011, Tufekci 2014). Data warehouses are increasingly large and need to process
69 frequent insertion and deletion operations (Ester *et al.* 1998). Spatiotemporal data
70 streams processing often needs to aggregate data tuples in a window (Chandrasekaran
71 and Franklin 2002, Li *et al.* 2005). The high-volume and high-velocity nature of
72 spatiotemporal data streams implies the data tuples in the window can get massive
73 and change rapidly (Babcock *et al.* 2002, Motwani *et al.* 2003, Nittel *et al.* 2004, Mokbel
74 *et al.* 2005). In these cases where a large dynamic set of data frequently includes or
75 evicts a relatively small set of data, algorithm efficiency can be dramatically improved
76 if incremental computation is possible. Incremental computation can alleviate the need
77 to recompute the entire solution at each iteration. Hence, it should be no surprise that

78 incremental computation is widely used to mine data warehouses (Ester *et al.* 1998) and
79 process spatiotemporal data streams (Acharya and Lee 2014, Mokbel *et al.* 2005, Ünal
80 *et al.* 2006, Zhang *et al.* 2008, 2014, Zhong *et al.* 2016b).

81 2.2. Edge cascade

82 The core challenge faced in designing an incremental χ -shape algorithm is the “edge
83 cascade,” where new points can cause previously retained edges to be removed, or
84 previously removed edges to be retained. The edge cascade problem also exists for
85 some other non-convex footprint algorithms. Peethambaran and Muthuganapathy (2015)
86 proposed a non-parametric approach to non-convex footprints reconstruction. The
87 algorithm first filters the DT of the input points in a similar fashion to χ -shapes and
88 then reconstructs holes if detected. In the filtering step, the circumradii of the triangles
89 (instead of edge length in χ -shapes) are used to determine the order of edge removal
90 under the regularity constraint. Hence this approach has the same edge cascade problem
91 with the χ -shape algorithm.

92 The recursive voids method (Şeref and Zobel 2013) finds empty regions within an area
93 that encloses all input points. The empty regions are detected recursively and stored in a
94 tree structure. Changes in the input points may influence the detection of empty regions
95 in the current iteration and sequentially affect the later recursions, which can lead to the
96 edge cascade.

97 Garai and Chaudhuri (1999) proposed a “split-isolate-merge” procedure to construct
98 non-convex footprints. The split step successively inserts extra edges to carve off pieces of
99 the convex hull of P , based on the k -nearest-neighbor (k NN) of the vertices of the current
100 polygon. Then the isolation step separates the components in the pattern of P , again
101 based on k NN of the vertices of the current polygon. Finally, the merge step smooths
102 the zig-zagged polygon formed in the split and isolation step, according to the angle
103 between the two edges incident to each vertex and the area of the triangle formed by the
104 vertices on those two edges. When the set P is changed, the split and isolate steps can
105 be conducted incrementally using incremental k NN search. However, the merge step can
106 lead to an edge cascade, because the order in which angles of the polygon are smoothed
107 matters.

108 The k NN based method proposed by Moreira and Santos (2007) may also lead
109 to edge cascades. The k NN based method generalizes the gift-wrapping convex hull
110 algorithm (Jarvis 1973). At each iteration, the k NN-based algorithm finds the next point
111 from the k NN of current points, instead of processing the entirety of P used in the
112 gift-wrapping algorithm. Each subsequent point produces the largest right-hand turn
113 from the current point without resulting in self-intersection. Although the k NN of the
114 points can be searched incrementally, the selection of the next edge depends on the
115 current edge because of the no-self-intersection constraint. Hence when an edge is changed
116 due to the updates of P , sequential changes may happen to the later selection of edges.

117 Parker and Downs (2013) proposed a footprint algorithm based on fuzzy-neighborhood
118 clustering. The input points are clustered using the Fuzzy-Neighborhood (FN)-DBSCAN
119 algorithm. The final footprint is formed by the union of the footprint of each cluster,
120 generated using a contouring method. The edge cascade happens in the clustering process,
121 which has been solved by Ester *et al.* (1998).

122 Unlike χ -shapes, some non-convex footprint algorithms do not lead to edge cascade
123 problems. The α -shape (Edelsbrunner *et al.* 1983) is another DT-based footprint. A
124 new DT can be calculated incrementally following insertion or deletion, and the new

125 α -shape can be obtained directly by checking the circumradius of triangles that appear
 126 or disappear.

127 The \mathcal{A} -shape (Melkemi 1997, Melkemi and Djebali 2000) is a Voronoi diagram (VD)
 128 based footprint. The \mathcal{A} -shape firstly takes a set of auxiliary negative points P_a (points
 129 that must lie outside the footprint) and calculates the VD of $P \cup P_a$. Then any pair of
 130 points $p, q \in P$ whose Voronoi cells are adjacent to each other and to a common Voronoi
 131 cell of a point in P_a are connected, forming the \mathcal{A} -shape. Similar to the α -shape, once
 132 the new VD is calculated incrementally, the new \mathcal{A} -shape can be determined directly by
 133 checking if the changes in the Voronoi cells create or destroy any new connections between
 134 points. The same principle can also be applied to the DT-based footprint proposed
 135 by Arampatzis *et al.* (2006) and the VD based footprint proposed by Alani *et al.* (2001).

136 Footprints such as the covering disks method (Galton and Duckham 2006), s-shape
 137 and r-shape (Chaudhuri *et al.* 1997) are constructed by assigning an influence region
 138 to each point and integrating the influenced regions of all points to form the footprint.
 139 When new points join the data set or some points are removed from the data set, an
 140 incremental algorithm needs only consider how the regions associated with these points
 141 affect the footprint. For example, for the covering disks method, the new footprint can be
 142 obtained by three simple steps: 1) calculating the intersection between the regions that
 143 are unassociated with the deleted points and the regions associated with the deleted
 144 points; 2) calculating the intersection between the old footprint and the complement of
 145 the regions assigned to the deleted points; and 3) calculating the union of the shape
 146 obtained in step 2 with the regions obtained in step 1 and the regions assigned to the
 147 inserted points.

148 Nevertheless, while footprint algorithms such as α -shapes and \mathcal{A} -shapes can be
 149 constructed incrementally, these algorithms don't offer the regularity guarantees of
 150 χ -shapes. Hence, in this paper we solve the edge cascade problem with a tree-based
 151 data structure and associated algorithm to enable efficient, incremental construction of
 152 χ -shapes.

153 3. Method

154 Our new incremental χ -shape algorithm is described in this section. In essence, the
 155 χ -shape is represented as a tree data structure. Then based on the changes in the DT
 156 caused by insertion or deletion of points, the incremental χ -shape algorithm modifies the
 157 tree to calculate the new χ -shape more efficiently.

158 3.1. Preliminaries

159 Given a point set P and its χ -shape, our incremental algorithm can efficiently compute
 160 the χ -shape for the insertion or deletion of a (possibly singleton) set of points S . The
 161 following terms are helpful in explaining our algorithm, also depicted in Figure 9.

162 **Definition 3.1** The **adjacent triangles** of an edge e in a triangulation is the set of
 163 one (if e is an exterior edge) or two (if e is an interior edge) triangles in the triangulation
 164 that are incident with (i.e., adjacent to) e .

165 **Definition 3.2** The **arms** of an exterior edge e in a triangulation are the other two
 166 edges incident with the (unique) adjacent triangle of e .

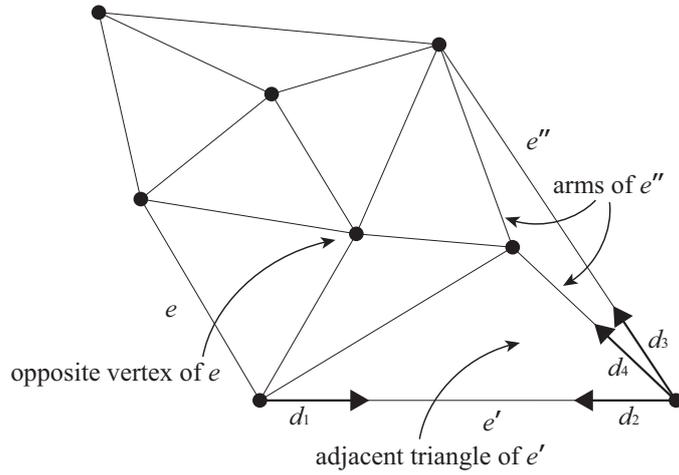


Figure 1.: Examples of adjacent triangle, arms, opposite vertex, and darts in a triangulation.

167 **Definition 3.3** The **opposite vertex** of an exterior edge e in a triangulation is the
 168 (unique) third vertex of the (unique) adjacent triangle of e , i.e., such that the opposite
 169 vertex is not incident with e .

170 The incremental χ -shape algorithm proposed in this paper can be implemented
 171 efficiently using the doubly connected edge list (DCEL) data structure (De Berg *et al.*
 172 2000), widely used in computational geometry. In the DCEL, each edge is represented as
 173 a pair of half edges or “darts” (e.g., edge e' represented by darts $\{d_1, d_2\}$ in Figure 9).
 174 For each dart, we may efficiently retrieve the “twin” (opposite pair) of that dart,
 175 represented using the function θ_0 (e.g., $\theta_0 d_1 = d_2$ and $\theta_0 d_2 = d_1$ in Figure 9). Finally,
 176 the counterclockwise cyclic order of darts around a vertex is stored using the function
 177 θ_1 , e.g., $\theta_1 d_2 = d_3$, $\theta_1 d_3 = d_4$, $\theta_1 d_4 = d_2$ in Figure 9. Note that we can combine functions
 178 θ_0 and θ_1 to move efficiently around the triangulation, e.g., $\theta_0 \theta_1 \theta_0 \theta_1 d_1 = d_4$. Using the
 179 DCEL, the pairs, arms, opposite vertex of an exterior edge of a planar triangulation can
 180 be found in constant time.

181 3.2. Representing the χ -shape algorithm as a tree

182 The idea behind of the χ -shape algorithm is to remove exterior edges of the Delaunay
 183 triangulation (DT) of a set of input P in descending order of length, subject to a regularity
 184 constraint. The regularity constraint of χ -shapes requires that at all times the exterior
 185 edges of the triangulation form the boundary of a simple (Jordan) polygon (the χ -shape
 186 itself). Every time an exterior edge is marked for removal, the polygon formed by the new
 187 set of exterior triangulation edges is checked for topological irregularities to the polygon
 188 (i.e., namely isolated points, point connections, lines or disconnected components).

189 Based on this distinction between regular and irregular polygons formed by the exterior
 190 edges of a triangulation, we can define two types of exterior edges:

191 **Definition 3.4** An exterior edge of a triangulation is termed **removable** if removing
 192 that edge would result in the exterior edges of the resulting triangulation forming an
 193 simple polygon. Otherwise an exterior edge of a triangulation is termed **non-removable**.

194 The removability of an exterior edge can be determined by checking if the opposite
 195 vertex of the edge is on the boundary of the triangulation. The “ v -boundary” function

196 defined in (Duckham *et al.* 2008) returns true if the input vertex is a boundary vertex,
 197 and false otherwise. The v -boundary function is initialized by assigning the boundary
 198 vertices of the DT with a true value and the internal vertices with a false value. Then
 199 when a removable exterior edge is removed from the triangulation, the opposite vertex
 200 of the exterior edge becomes a boundary vertex. Thus the v -boundary function of the
 201 opposite vertex is set to be true. Maintaining this v -boundary function enables the
 202 removability of an exterior edge to be determined in constant time.

203 The polygon formed by the exterior edges of the triangulation at any iteration of
 204 the χ -shape algorithm is guaranteed to be a simple polygon that contains all the input
 205 points. The algorithm ceases if there is no removable exterior edge longer than the length
 206 threshold λ . The exterior edges of the final triangulation form the χ -shape ($\chi(P, \lambda)$) of
 207 P .

208 The order of edge removal and the relationship between an exterior edge and its arms
 209 are critical for the χ -shape algorithm. Thus it is necessary to find out a method to
 210 maintain these two pieces of information of $\chi(P, \lambda)$ to calculate $\chi(P \cup S, \lambda)$ or $\chi(P \setminus S, \lambda)$
 211 incrementally (where S is the possibly singleton set of points to be added to or removed
 212 from P). Note every time an exterior edge e is removed in the χ -shape algorithm, the two
 213 arms of e are exposed. According to this rule, the χ -shape algorithm can be represented
 214 as a tree structure. The root of the tree is a virtual “null” node. Each node except the
 215 root represents an edge removed in the χ -shape algorithm, along with the length and
 216 opposite vertex of that edge. The children of the root correspond to the initially exterior
 217 edges of $DT(P)$. Each internal node of the tree other than the root has exactly two
 218 children, which store the arms of the edge corresponding to that node. The leaves of the
 219 tree correspond to non-removable edges or edges shorter or equal to the length threshold
 220 λ , which form $\chi(P, \lambda)$. This tree is referred as χ -tree ($\mathbf{T}_\chi(P, \lambda)$) in this paper. As shown
 221 in Algorithm 1, the χ -tree can be constructed as the χ -shape algorithm scans the list
 222 of exterior edges. An auxiliary list ($O(P, \lambda)$) is also created, which saves the pointers to
 223 nodes of the χ -tree in the order of being scanned in the χ -shape algorithm. In addition,
 224 a new column is added to the DCEL, which stores the pointers to the tree-nodes that
 225 correspond to each dart. For darts not included in the χ -tree, this column is left empty.
 226 The function “ $node(d)$ ” returns the value of this column for the dart d . For brevity we
 227 may also write $node(e)$ in place of $node(d)$, where e is an edge incident with dart d .

228 Figure 10(b) illustrates the χ -tree of an example χ -shape shown in Figure 10(a). The
 229 nodes of the tree are labelled with their corresponding triangulation edge labels. The
 230 leaves of the χ -tree correspond to the edges that form the χ -shape shown in Figure 10(a).

231 The DT for insertion ($DT(P \cup S)$) or deletion ($DT(P \setminus S)$) can be obtained
 232 incrementally from $DT(P)$ using established incremental DT algorithms. The next step
 233 is to find out the minimum modifications to the χ -tree to handle insertion or deletion
 234 incrementally and efficiently. Then the χ -shape after insertion or deletion can be simply
 235 extracted from the leaves of the modified χ -tree.

236 3.3. Insertion

237 Inserting a set of points S into P only affects the triangles of $DT(P)$ that are locally
 238 relevant to the points in S (Anglada 1997). Let $\bar{\Delta}_S$ be the sub-triangulation of $DT(P)$
 239 that disappears as a result of inserting S , and Δ_S be the sub-triangulations of $DT(P \cup S)$
 240 that are created by S . $\bar{\Delta}_S$ and Δ_S can be obtained using an incremental DT algorithm
 241 such as Guibas *et al.* (1992), Lischinski (1994). Figure 11 shows an example of inserting
 242 S into P and the resultant $\bar{\Delta}_S$ and Δ_S .

Algorithm 1 χ -shape algorithm with preparation for future insertion or deletion

Require: A finite set of two-dimensional points $P \subset \mathbb{R} \times \mathbb{R}$ and one parameter $\lambda \in \mathbb{R}$

- 1: Construct the Delaunay triangulation $DT(P)$ of P
- 2: $\Delta \leftarrow DT(P)$
- 3: Construct the list B of exterior edges of $DT(P)$
- 4: Sort the list B in descending order of edge length
- 5: Initialize the v -boundary function
- 6: Set the root (r) of $\mathbf{T}_\chi(P, \lambda)$ to be $\{\text{edge} = \emptyset, \text{oppositeVertex} = \emptyset, \text{length} = 0\}$
- 7: Construct the list of parent nodes (PN) for the elements in B
- 8: Set each element in PN to be r
- 9: $O(P, \lambda) \leftarrow \emptyset$
- 10: **while** B is not empty **do**
- 11: $e = \{d_1, d_2\} \leftarrow \text{pop}(B)$
- 12: $p \leftarrow \text{pop}(PN)$
- 13: $o \leftarrow$ opposite vertex of e in Δ
- 14: $N \leftarrow \{\text{edge} = e, \text{oppositeVertex} = o, \text{length} = \|e\|\}$
- 15: Insert N in $\mathbf{T}_\chi(P, \lambda)$ as a child of p
- 16: $node(d_1) \leftarrow N$
- 17: $node(d_2) \leftarrow N$
- 18: Append N to $O(P, \lambda)$
- 19: **if** $\|e\| > \lambda$ **and** $v\text{-boundary}(o) = \text{false}$ **then**
- 20: Remove e from Δ
- 21: $v\text{-boundary}(o) = \text{true}$
- 22: Insert the arms of e in Δ into B in order of edge length
- 23: Insert N into PN at the corresponding position of the arms of e in B
- 24: **end if**
- 25: **end while**
- 26: **Return** $\chi(P, \lambda)$ formed by leaves of $\mathbf{T}_\chi(P, \lambda)$, $DT(P)$, $\mathbf{T}_\chi(P, \lambda)$ and $O(P, \lambda)$

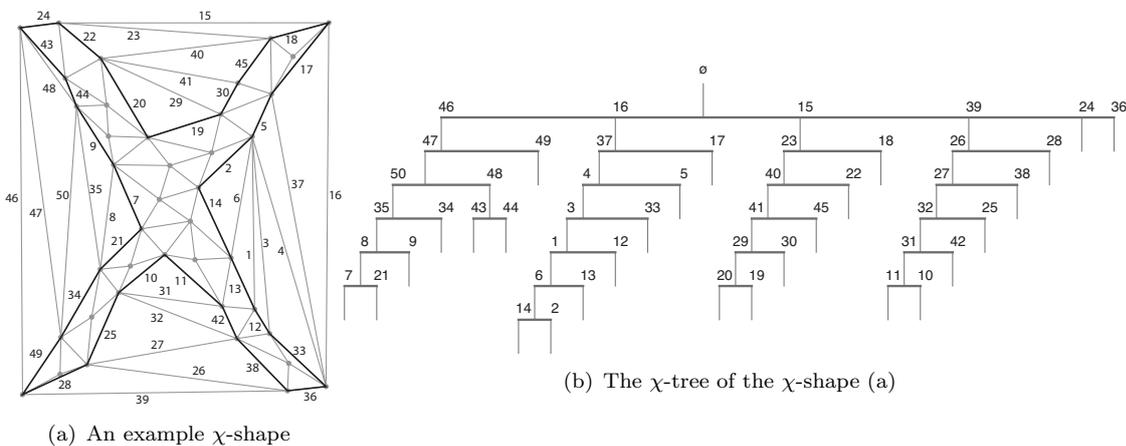


Figure 2.: The χ -tree (b) of an example χ -shape (a). Labeled nodes in the χ -tree (b) correspond to edges of the χ -shape (a). The leaves of the χ -tree form the χ -shape (thicker edges) shown in (a).

243 The incremental χ -shape for insertion is presented in Algorithm 2. First, the triangles
 244 that disappear ($\bar{\Delta}_S$) are handled in lines 2–14. Next, the changes in the exterior edges
 245 of the DT are solved in lines 15–23. Finally, the nodes in the χ -tree are scanned in lines
 246 24–58 to generate the χ -shape.

247 3.3.1. Handling triangles that disappear ($\bar{\Delta}_S$)

248 After inserting S , the triangles in $\bar{\Delta}_S$ are replaced with the new ones in Δ_S . The nodes
 249 of which the edge and opposite vertex are both on a triangle in $\bar{\Delta}_S$ will not exist in the
 250 new χ -tree. Let $N_d = \{N \mid N = node(e), e \text{ is on } \bar{\Delta}_S, N.oppositeVertex \text{ is on } \bar{\Delta}_S\}$ denote
 251 such nodes. For a node $N \in N_d$, if $N.edge$ is on the boundary of Δ_S , we only need to
 252 change $N.oppositeVertex$ to the opposite vertex of $N.edge$ in Δ_S . When the opposite

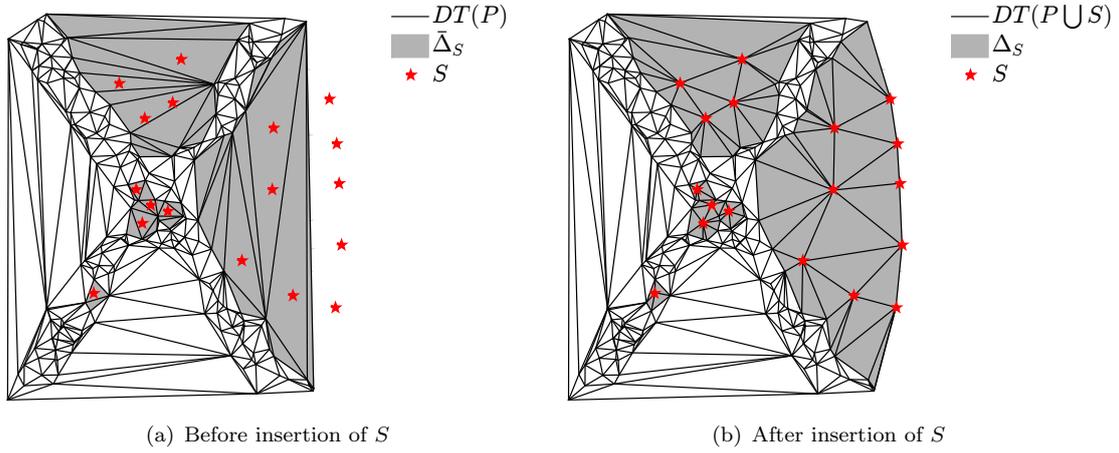


Figure 3.: Changes to DT caused by inserting a set of points S into P .

253 vertex of N is changed, the children of N (arms of $N.edge$) must be changed in the new
 254 χ -tree. Thus the children of N are disconnected from N if N is an internal node, which
 255 will be reconnected or deleted in the later steps (see Section 3.3.3). If $N.edge$ is not on
 256 the boundary of Δ_S , N needs to be deleted from the χ -tree and the auxiliary list of the
 257 χ -tree. The χ -tree can become a disconnected graph (a forest) after deleting such nodes.

258 3.3.2. Fixing exterior edges of DT

259 When S contains points outside the convex hull ($conv(P)$) of P , the exterior edges
 260 of DT can be changed after the insertion. The DT after the insertion has exterior
 261 edges which are incident to the points in S . Let E_S^b be such exterior edges. New nodes
 262 corresponding to these new exterior edges need to be added to the χ -tree as the children
 263 of the root of the χ -tree. We also need to determine the position of the newly added nodes
 264 in the auxiliary list of the χ -tree. A list W is created to save the newly created nodes
 265 waiting to be inserted into the auxiliary list of the χ -tree. The newly created nodes are
 266 inserted in W in descending order of the length of the nodes. The nodes in W are inserted
 267 into the auxiliary list of the χ -tree in the later step (see lines 26–28 in Algorithm 2).

268 In addition, some exterior edges of $DT(P)$ may become internal in $DT(P \cup S)$ (covered
 269 by the exterior edges of $DT(P \cup S)$ that are incident to points in S). The nodes
 270 corresponding to such previous exterior edges are firstly disconnected from the null root of
 271 the χ -tree, since the children of the null root of the χ -tree must correspond to the exterior
 272 edges of DT. The resultant disconnected component will be reconnected or deleted in
 273 the later steps (see Section 3.3.3).

274 $N_d = \emptyset$ and $E_S^b = \emptyset$ implies that the points in S do not affect the χ -tree at all. In
 275 this case, the χ -tree $\mathbf{T}_\chi(P \cup S, \lambda)$ and its auxiliary list will be identical with $\mathbf{T}_\chi(P, \lambda)$
 276 and the auxiliary list of $\mathbf{T}_\chi(P, \lambda)$, respectively. Hence the incremental χ -shape algorithm
 277 stops in this situation. Otherwise, the nodes in the χ -tree are scanned in the next step.

278 3.3.3. Scanning the χ -tree

279 After the previous initial changes to the χ -tree and its auxiliary list, the nodes of the
 280 χ -tree can be scanned in the order given by the auxiliary list. Recall that some nodes of
 281 the χ -tree in W are waiting to be inserted into the auxiliary list of the χ -tree. When a
 282 node N is scanned in an iteration, it is compared with the head of W ($H = head(W)$).
 283 Note the χ -shape algorithm always process the longest exterior edge. Hence if $H.length >$
 284 $N.length$, H is inserted into the auxiliary list of the χ -tree before N and removed from

Algorithm 2 Incremental χ -shape algorithm (insertion)

Require: A finite set of two-dimensional points $P \subset \mathbb{R} \times \mathbb{R}$, a finite set of two-dimensional points $S \notin P$ to be inserted, one parameter $\lambda \in \mathbb{R}$, $DT(P)$, $\mathbf{T}_\chi(P, \lambda)$ and $O(P, \lambda)$

```

1: Calculate  $DT(P \cup S)$  incrementally from  $DT(P)$  and obtain  $\bar{\Delta}_S$ ,  $\Delta_S$  and  $E_S^b$ 
2:  $N_d \leftarrow \{N \mid N = \text{node}(e), e \text{ is on } \bar{\Delta}_S, N.\text{oppositeVertex} \text{ is on } \bar{\Delta}_S\}$ 
3: if  $N_d = \emptyset$  and  $E_S^b = \emptyset$  then
4:   Return  $DT(P \cup S)$ ,  $\mathbf{T}_\chi(P \cup S, \lambda) \leftarrow \mathbf{T}_\chi(P, \lambda)$ ,  $O(P \cup S, \lambda) \leftarrow O(P, \lambda)$ 
5: end if
6: for all  $N \in N_d$  do
7:   if  $N.\text{edge}$  is on the boundary of  $\Delta_S$  then
8:      $N.\text{oppositeVertex} \leftarrow$  opposite vertex of  $N.\text{edge}$  in  $\Delta_S$ 
9:     Disconnect the children of  $N$  from  $N$  if  $N$  is an internal node
10:  else
11:     $\text{node}(N.\text{edge}) \leftarrow \text{null}$ 
12:    Delete  $N$  from  $\mathbf{T}_\chi(P, \lambda)$  and  $O(P, \lambda)$ 
13:  end if
14: end for
15:  $W \leftarrow \emptyset$ 
16:  $E_{\Delta_S}^b \leftarrow \{e \mid e \in \Delta_S, e \text{ is an internal edge of } DT(P \cup S) \text{ and an exterior edge of } DT(P)\}$ 
17: Disconnect  $\text{node}(E_{\Delta_S}^b)$  from the null root of  $\mathbf{T}_\chi(P, \lambda)$ 
18: for all  $e \in E_S^b$  do
19:    $o \leftarrow$  opposite vertex of  $e$  in  $DT(P \cup S)$ 
20:    $N \leftarrow \{\text{edge} = e, \text{oppositeVertex} = o, \text{length} = \|e\|\}$ 
21:   Insert  $N$  into  $\mathbf{T}_\chi(P, \lambda)$  as a child of the null root of  $\mathbf{T}_\chi(P, \lambda)$  and into  $W$  in descending order of length
22:    $\text{node}(e) \leftarrow N$ 
23: end for
24:  $N \leftarrow \text{head}(O)$ 
25: while  $N.\text{next} \neq \text{null}$  do
26:   if  $W \neq \emptyset$  and  $N.\text{length} < \text{head}(W).\text{length}$  then
27:     Insert  $\text{head}(W)$  into  $O(P, \lambda)$  before  $N$ 
28:      $N \leftarrow \text{pop}(W)$ 
29:   end if
30:   if  $N.\text{parent} = \text{null}$  then
31:      $N_b \leftarrow N$  and its descendants
32:      $\text{node}(N_b.\text{edge}) \leftarrow \text{null}$ 
33:     Delete  $N_b$  from  $\mathbf{T}_\chi(P, \lambda)$  and  $O(P, \lambda)$ 
34:   end if
35:   if  $N.\text{length} > \lambda$  then
36:     if  $N$  is an internal node and  $v\text{-boundary}(N.\text{oppositeVertex}) = \text{false}$  then
37:        $v\text{-boundary}(N.\text{oppositeVertex}) = \text{true}$ 
38:     else if  $N$  is an internal node and  $v\text{-boundary}(N.\text{oppositeVertex}) = \text{true}$  then
39:        $N_b \leftarrow N$  and its descendants
40:        $\text{node}(N_b.\text{edge}) \leftarrow \text{null}$ 
41:       Delete  $N_b$  from  $\mathbf{T}_\chi(P, \lambda)$  and  $O(P, \lambda)$ 
42:     else if  $N$  is a leaf and  $v\text{-boundary}(N.\text{oppositeVertex}) = \text{false}$  then
43:        $v\text{-boundary}(N.\text{oppositeVertex}) = \text{true}$ 
44:        $A \leftarrow$  arms of  $N.\text{edge}$  using Equation 1
45:       for all  $e \in A$  do
46:         if  $\text{node}(e) \neq \text{null}$  and  $\text{node}(e).\text{oppositeVertex}$  is not on  $N.\text{edge}$  then
47:           Connect  $\text{node}(e)$  to  $N$  as a child of  $N$ 
48:         else
49:            $o \leftarrow$  opposite vertex of  $e$  using Eq 2
50:            $N' \leftarrow \{\text{edge} = e, \text{oppositeVertex} = o, \text{length} = \|e\|\}$ 
51:           Insert  $N'$  into  $\mathbf{T}_\chi(P, \lambda)$  as a child of  $N$  and into  $W$  in descending order of length
52:            $\text{node}(e) \leftarrow N'$ 
53:         end if
54:       end for
55:     end if
56:   end if
57:    $N \leftarrow N.\text{next}$ 
58: end while
59: Return  $DT(P \cup S)$ ,  $\mathbf{T}_\chi(P \cup S, \lambda) \leftarrow \mathbf{T}_\chi(P, \lambda)$ ,  $O(P \cup S, \lambda) \leftarrow O(P, \lambda)$ 

```

285 W . And in this iteration H is scanned instead of N .

286 The initial changes cause the χ -tree to become a disconnected graph. Some of the
287 connected components will be reconnected to the χ -tree in the Case 4 below. If a node
288 N being scanned in the current iteration is a root of one of the connected components

289 ($N.Parent = null$), it means the connected component has not been reconnected to
 290 the χ -tree. The nodes in the connected component are deleted from the χ -tree and its
 291 auxiliary list. Then the next node in the auxiliary list of the χ -tree is scanned. The
 292 edges corresponding to these deleted nodes either rejoin the χ -tree later or are no longer
 293 contained in the χ -tree. If $N.Parent \neq null$ and $N.length \leq \lambda$, N must be a leaf of
 294 $\mathbf{T}_\chi(P, \lambda)$ and will still be a leaf in $\mathbf{T}_\chi(P \cup S, \lambda)$. Hence no further processing is needed.
 295 If $N.Parent \neq null$ and $N.length > \lambda$, N can either be a leaf or an internal node, and
 296 $N.edge$ can either be removable or non-removable. Hence each node falls in one of the
 297 following four cases.

298 *Case 1: N is a leaf and $N.edge$ is non-removable.* $N.edge$ was not removed in the
 299 χ -shape algorithm on P and is non-removable in the χ -shape algorithm on $P \cup S$. In this
 300 case, no further processing is needed.

301 *Case 2: N is internal and $N.edge$ is non-removable.* $N.edge$ was removed in the
 302 χ -shape algorithm on P but becomes non-removable in the χ -shape algorithm on $P \cup S$.
 303 In this case, the descendants of N should be deleted from the χ -tree and its auxiliary
 304 list.

305 *Case 3: N is internal and $N.edge$ is removable.* $N.edge$ was removed in the χ -shape
 306 algorithm on P and is still removable in the χ -shape algorithm on $P \cup S$. In this case,
 307 we just need to set the v -boundary function of $N.oppositeVertex$ to be true.

308 *Case 4: N is a leaf and $N.edge$ is removable.* $N.edge$ was non-removable in the
 309 χ -shape algorithm on P and becomes removable after the insertion. In this case, two
 310 nodes, corresponding to the arms of $N.edge$, should become the children of N . These
 311 two nodes can be existing nodes in the χ -tree or newly created nodes. In addition, the
 312 v -boundary function of $N.oppositeVertex$ needs to be set to be true.

Let $N.edge$ be $\{d_1, d_2\}$. As the opposite vertex of N must be on the arms of $N.edge$,
 the two arms $A = \{A_1 = \{d_{A_1}, \theta_0 d_{A_1}\}, A_2 = \{d_{A_2}, \theta_0 d_{A_2}\}\}$ of $N.edge$ can be found by

$$d_{A_1} = \begin{cases} \theta_1 d_1 & \text{if } vertex(\theta_0 \theta_1 d_1) = N.oppositeVertex \\ \theta_0 \theta_1 \theta_0 \theta_1 \theta_0 d_1 & \text{otherwise} \end{cases}$$

$$d_{A_2} = \begin{cases} \theta_1 d_2 & \text{if } vertex(\theta_0 \theta_1 d_2) = N.oppositeVertex \\ \theta_0 \theta_1 \theta_0 \theta_1 \theta_0 d_2 & \text{otherwise.} \end{cases} \quad (1)$$

If A_1 or A_2 is not contained in the χ -tree (i.e., $node(d_{A_1}) = null$ or $node(d_{A_2}) = null$),
 a new node is created and added to the χ -tree as a child of N . A_1 and A_2 can have two
 adjacent triangles. The opposite vertices (o) of A_1 and A_2 are on the triangle that does
 not contain $N.edge$, which can be obtained by

$$o(A_1) = \begin{cases} vertex(\theta_0 \theta_1 d_{A_1}) & \text{if } vertex(\theta_0 \theta_1 d_1) = N.oppositeVertex \\ vertex(\theta_1 \theta_0 \theta_1 \theta_0 d_{A_1}) & \text{otherwise} \end{cases}$$

$$o(A_2) = \begin{cases} vertex(\theta_0 \theta_1 d_{A_2}) & \text{if } vertex(\theta_0 \theta_1 d_2) = N.oppositeVertex \\ vertex(\theta_1 \theta_0 \theta_1 \theta_0 d_{A_2}) & \text{otherwise.} \end{cases} \quad (2)$$

313 If A_1 or A_2 is already contained in a node N_i in the χ -tree, we need to check whether
 314 the opposite vertex of N_i is on $N.edge$. If so, $N_i.edge$ is visited by the χ -shape algorithm
 315 on $P \cup S$ in a different direction from that on P . In this case, a new node is created as

316 above and added to the χ -tree as a child of N . The branch that originally contains N_i
 317 will be captured and chopped off in the Case 2 above. Otherwise, we connect N_i to N
 318 as a child of N . When a new node is created and added to the χ -tree, the node is also
 319 inserted in W in descending order of the length of the nodes, waiting to be inserted into
 320 the auxiliary list of the χ -tree.

321 After scanning the χ -tree, disconnected components are either reconnected or deleted.
 322 The graph again contains a single connected component, i.e., the new χ -tree $\mathbf{T}_\chi(P \cup S, \lambda)$.
 323 As the changes to the DT caused by inserting S occur locally relevant to S , the cases 1
 324 and 3 happen much more frequently than the cases 2 and 4 do. The cases 1 and 3 are
 325 also much less time consuming than the cases 2 and 4. Hence this incremental algorithm
 326 can afford improved efficiency.

327 3.4. Deletion

328 The same principles used to handle insertion can also be used to deal with deletion.
 329 Reading Figure 11 from right to left shows the modifications to the DT caused by deletion.
 330 For deletion, $\bar{\Delta}_S$ is the sub-triangulation of $DT(P)$ that disappears after the deletion.
 331 And Δ_S is the sub-triangulation of $DT(P \setminus S)$ that is created by the deletion operation.
 332 The algorithm described in Section 3.3.1 and lines 6–14 in Algorithm 2 can be used to
 333 handle the triangles that disappear ($\bar{\Delta}_S$).

334 The difference between the deletion algorithm and the insertion algorithm is how the
 335 changes to the exterior edges of the DT are handled. There is no exterior edge of $DT(P)$
 336 being covered after the deletion. Hence we do not need to disconnect any nodes from
 337 the null root of the χ -tree. In addition, the new exterior edges of the DT are a result
 338 of two sources. The first source is that some internal edges of $DT(P)$ are exposed after
 339 deletion and become exterior edges of $DT(P \setminus S)$. Let $E_S^{b_1} = \{e \mid e \text{ is an internal edges}$
 340 $\text{of } DT(P), e \text{ is an exterior edge of } DT(P \setminus S)\}$ denote the new exterior edges created
 341 by this source. These edges may be already included in the χ -tree. For each $e \in E_S^{b_1}$, if
 342 $node(e) \neq null$, $node(e)$ should be connected to the null root of the χ -tree as one of its
 343 children. Otherwise, e is not included in the χ -tree, a new node is created and inserted
 344 into the χ -tree as a child of the null root. The node is also inserted into W in descending
 345 order of length. The second source is that some newly created edges of Δ_S are exterior
 346 edges of $DT(P \setminus S)$. Let $E_S^{b_2} = \{e \mid e \text{ is on } \Delta_S \text{ and is not on } \bar{\Delta}_S, e \text{ is an exterior edge}$
 347 $\text{of } DT(P \setminus S)\}$ denote the new exterior edges created by this second source. Every edge
 348 in $E_S^{b_2}$ must not be contained in the χ -tree. Hence a new node is created for each edge
 349 in $E_S^{b_2}$ and inserted into the χ -tree as a child of the null root. The node is also inserted
 350 into W in descending order of length.

351 After the initial modifications to the χ -tree and the auxiliary list of the χ -tree, the
 352 algorithm described in Section 3.3.3 and lines 24–58 in Algorithm 2 can be used to scan
 353 the χ -tree and generate the final χ -tree after deletion. The incremental χ -shape algorithm
 354 for deletion is given in Algorithm 3, where the same steps as the incremental χ -shape
 355 algorithm for insertion are omitted and quoted from Algorithm 2.

356 4. Results

357 The efficiency of the incremental χ -shape algorithm proposed in the previous section was
 358 evaluated through experiments. The execution time of the incremental χ -shape algorithm
 359 is dominated by the step of scanning the χ -tree. This step is identical in the algorithms for

Algorithm 3 Incremental χ -shape algorithm (deletion)

Require: A finite set of two-dimensional points $P \subset \mathbb{R} \times \mathbb{R}$, a finite set of two-dimensional points $S \in P$ to be deleted, one parameter $\lambda \in \mathbb{R}$, $DT(P)$, $\mathbf{T}_\chi(P, \lambda)$ and $O(P, \lambda)$

- 1: Calculate $DT(P \setminus S)$ incrementally from $DT(P)$ and obtain $\bar{\Delta}_S$, Δ_S , $E_S^{b_1}$ and $E_S^{b_2}$
- 2: $N_d \leftarrow \{N \mid N = \text{node}(e), e \text{ is on } \bar{\Delta}_S, N.\text{oppositeVertex} \text{ is on } \bar{\Delta}_S\}$
- 3: **if** $N_d = \emptyset$ **and** $E_S^{b_1} = \emptyset$ **and** $E_S^{b_2} = \emptyset$ **then**
- 4: **Return** $DT(P \setminus S)$, $\mathbf{T}_\chi(P \setminus S, \lambda) \leftarrow \mathbf{T}_\chi(P, \lambda)$, $O(P \setminus S, \lambda) \leftarrow O(P, \lambda)$
- 5: **end if**
- 6: Lines 6 – 14 in Algorithm 2
- 7: $W \leftarrow \emptyset$
- 8: **for all** $e \in E_S^{b_1}$ **do**
- 9: **if** $\text{node}(e) = \text{null}$ **then**
- 10: Connect $\text{node}(e)$ to the null root of $\mathbf{T}_\chi(P, \lambda)$ as one of its children
- 11: **else**
- 12: $o \leftarrow$ opposite vertex of e in $DT(P \setminus S)$
- 13: $N \leftarrow \{\text{edge} = e, \text{oppositeVertex} = o, \text{length} = \|e\|\}$
- 14: Insert N into $\mathbf{T}_\chi(P, \lambda)$ as a child of the null root of $\mathbf{T}_\chi(P, \lambda)$ and into W in descending order of length
- 15: $\text{node}(e) \leftarrow N$
- 16: **end if**
- 17: **end for**
- 18: **for all** $e \in E_S^{b_2}$ **do**
- 19: $o \leftarrow$ opposite vertex of e in $DT(P \setminus S)$
- 20: $N \leftarrow \{\text{edge} = e, \text{oppositeVertex} = o, \text{length} = \|e\|\}$
- 21: Insert N into $\mathbf{T}_\chi(P, \lambda)$ as a child of the null root of $\mathbf{T}_\chi(P, \lambda)$ and into W in descending order of length
- 22: $\text{node}(e) \leftarrow N$
- 23: **end for**
- 24: Lines 24 – 58 in Algorithm 2
- 25: **Return** $DT(P \setminus S)$, $\mathbf{T}_\chi(P \setminus S, \lambda) \leftarrow \mathbf{T}_\chi(P, \lambda)$, $O(P \setminus S, \lambda) \leftarrow O(P, \lambda)$

360 insertion and for deletion. Hence, the performance of the algorithm for insertion was only
361 tested in these experiments—identical patterns of performance are found for deletion.

362 4.1. Single-point insertion

363 The first experiment tested the performance of the incremental χ -shape algorithm
364 when dealing with the most simple insertion case, i.e., insertion of a single point. In
365 this experiment, we investigated the impact of three factors on the efficiency of the
366 incremental χ -shape algorithm: the number of points in P , the length threshold λ , and
367 the location of the inserted point.

368 4.1.1. Experimental design

369 To investigate how the incremental χ -shape algorithm scales under the increasing
370 number of input points, the number of points in P (denoted as $|P|$) was varied across
371 nine incremental levels: $\{2^2 \times 10^2, 2^3 \times 10^2, \dots, 2^{10} \times 10^2\}$.

372 Rather than the absolute length threshold λ , a normalized length threshold $\lambda' \in [0, 100]$
373 was the second factor tested in our experiments. The absolute length threshold is
374 calculated as the length of the edge that is shorter than $\lambda'\%$ of the edges of the DT. By
375 Euler's formula, the number of edges of the DT is linearly proportional to the number of
376 input points. Thus, the normalized length threshold λ' ensures the number of removed
377 edges is proportional to the number of input points, enabling comparison across input
378 points sets of different cardinalities. The normalized length threshold was tested across
379 three levels: $\{5, 10, 15\}$, to explore the impact of parameter choice on the efficacy of the
380 incremental χ -shape algorithm.

381 Finally, the location of the inserted point is expected to affect to what extent the DT
382 and the χ -tree are changed after the insertion. The convex hull and χ -shape of P divide
383 the plane into three non-overlapping regions: (1) within the χ -shape of P , (2) between

384 the χ -shape and the convex hull of P , and (3) outside the convex hull of P . Each inserted
 385 point was drawn randomly from one of these three regions.

386 A full factorial design of experiment (DoE) was conducted on the above three factors.
 387 A total number of 100 independent and random replications of P and inserted singleton
 388 point set S were generated and processed for each combination of factors. The χ -shape of
 389 $P \cup S$ was calculated using both the original and the incremental χ -shape algorithms. The
 390 ratio of the execution time of the original χ -shape algorithm to that of the incremental
 391 χ -shape algorithm (“speed-up”) provided the measure of the increase in performance of
 392 the incremental algorithm.

393 To highlight the efficiency gain resulting from the core of the incremental χ -shape
 394 algorithm, the execution time of the incremental DT algorithm was excluded from the
 395 execution time of the original and the proposed incremental algorithms (which may both
 396 use an existing incremental DT algorithm). In addition, we found the total execution time
 397 of both algorithms is dominated by scanning the exterior edges of the triangulation. Hence
 398 excluding the execution time of the incremental calculation of DT has an extremely small
 399 effect upon the speed-up factor. The execution time of the original χ -shape algorithm
 400 was measured as the elapsed time from lines 3–26 of Algorithm 1. The execution time of
 401 the incremental χ -shape algorithm was measured as the elapsed time from lines 2–59 of
 402 Algorithm 2.

403 The experiments were conducted on two typical types of non-convex shapes shown in
 404 Figure 12: (a) a shape of letter “X” and (b) a shape of the border of France. In each
 405 replications of the experiment, the points in P were randomly drawn from these two
 406 shapes.

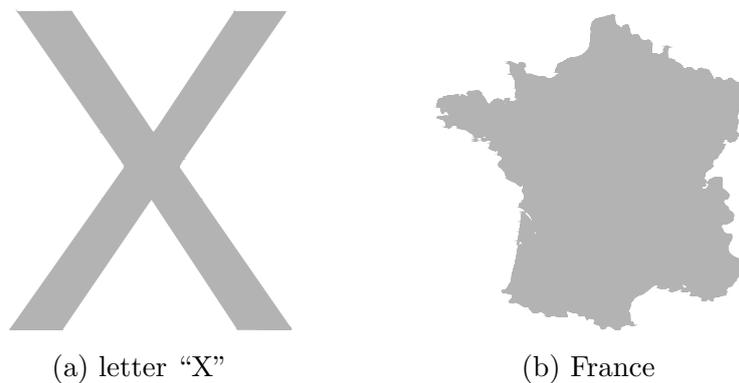


Figure 4.: Two non-convex shapes where points in P are drawn in the experiment.

407 4.1.2. Experimental results

408 The median speed-up in experiments on the shape of letter “X” is illustrated in
 409 Figure 13, categorized by the region where the inserted point S was drawn. Each
 410 sub-figure depicts the effect of the number of points in P (horizontal axis) and the
 411 normalized length threshold λ' (three different colors) on the speed-up factor. A
 412 logarithmic scale is used in Figure 13(a), because the speed-up obtained when S is inside
 413 the χ -shape of P was several orders of magnitude greater than those obtained in other
 414 two regions. When S is inside the χ -shape of P and when the length threshold is not
 415 too small (as in our experimental setup), the changes in the DT caused by the insertion
 416 often do not affect the χ -tree. In this case, the incremental χ -shape algorithm terminates
 417 at line 4 of Algorithm 2, resulting in the significantly greater speed-up in Figure 13(a)

418 when compared with Figures 13(b) and (c). When S is outside the χ -shape of P , the
 419 insertion is certain to affect the χ -tree. In this situation, the level of speed-up observed
 420 was unaffected by whether S is inside (Figure 13(b)) or outside (Figure 13(c))the convex
 421 hull of P .

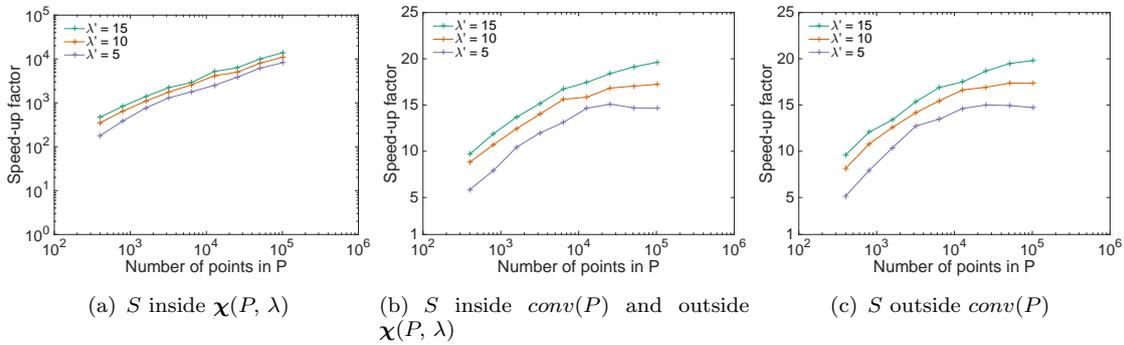


Figure 5.: Relationship between the speed-up factor and the number of points in P , under different λ' values (differently colored lines) and different regions to draw S (a-c) for the shape of letter “X”.

422 In all experimental settings, the speed-up observed was greater than 1, i.e., the
 423 incremental χ -shape algorithm was faster than the original χ -shape algorithm. The
 424 increase in algorithm speed up was more pronounced with increasing number of points
 425 in P . The original and the incremental algorithms have the same worst case time
 426 complexity $O(n \log n)$, where n is the number of points in P . To study the average
 427 case time complexity, a polynomial regression of the form $T_e = an^b$ was fitted to the
 428 median execution time curves, where T_e is the median execution time. As mentioned
 429 in Section 4.1.1, T_e excludes the execution time of incremental DT to evaluate the core
 430 contribution of this paper. In all cases, the coefficient of determination (R^2) indicated a
 431 good fit ($R^2 > 0.99$). The exponent of the curve b provides an empirical estimate of the
 432 average case time complexity. Table 3 lists the constant factor a and the exponent b for
 433 the original and the incremental χ -shape algorithms, calculated for different experimental
 434 settings. The average case time complexity of the original χ -shape algorithm was about
 435 $O(n^{0.68})$ under all the experimental settings. The incremental χ -shape algorithm had a
 436 nearly constant average case time complexity $O(n^{0.07})$ when S was inside the χ -shape of
 437 P . Hence in this case, the speed-up factor reached as high as 1.4×10^4 in our experiment.
 438 When S was drawn outside the χ -shape of P , the average case time complexity of the
 439 incremental χ -shape algorithm was only slightly lower than that of the original χ -shape
 440 algorithm. In this circumstance, the average case time complexity tended to decrease as
 441 more edges were removed (i.e., greater λ'). As a consequence, the speed-up factor was
 442 highest when $\lambda' = 15$.

443 The results of the experiment on the shape of French border are illustrated in
 444 Figure 14 and Table 4. The impacts of the experimental factors on the speed-up factor
 445 demonstrated by this experiment were the same as those exhibited from the experiment
 446 on the shape of letter “X”. The speed-up factors of the shape of French border were
 447 lower than those of the shape of letter “X”. The “X” shape exhibits greater concavity
 448 than the French border, and the benefits of the incremental χ -shape algorithm are more
 449 pronounced when dealing with increasingly non-convex points distributions.

Table 1.: Coefficients for regressions of the form $T_e = a|P|^b$ upon scalability (growth in execution time in seconds as a function of $|P|$), calculated under different λ' values and different regions to draw S for the shape of letter “X”.

λ'		S inside $\chi(P, \lambda)$		S inside $conv(P)$ and outside $\chi(P, \lambda)$		S outside $conv(P)$	
		a	b	a	b	a	b
15	Original	3.5×10^{-4}	0.69	3.68×10^{-4}	0.68	3.36×10^{-4}	0.69
	Incremental	3.11×10^{-5}	0.05	3.95×10^{-5}	0.62	3.82×10^{-5}	0.62
10	Original	3.1×10^{-4}	0.67	2.97×10^{-4}	0.68	2.9×10^{-4}	0.68
	Incremental	2.97×10^{-5}	0.05	2.97×10^{-5}	0.63	2.6×10^{-5}	0.64
5	Original	2.16×10^{-4}	0.68	2.19×10^{-4}	0.68	2.13×10^{-4}	0.68
	Incremental	2.61×10^{-5}	0.07	1.81×10^{-5}	0.66	1.81×10^{-5}	0.66

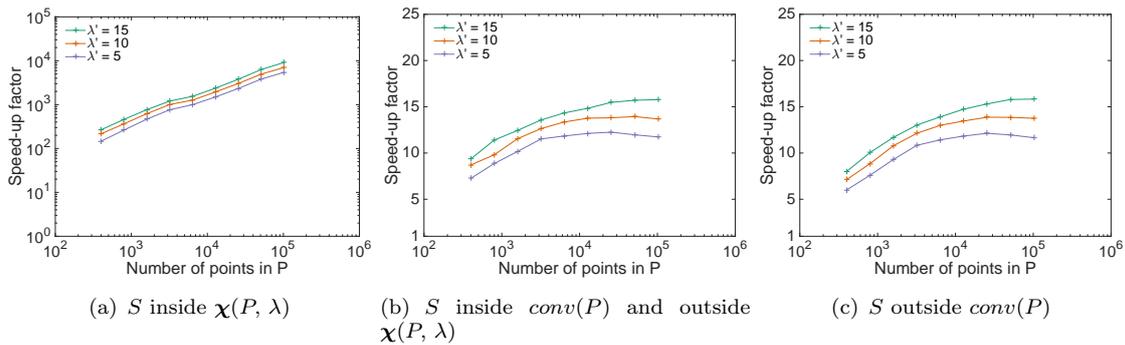


Figure 6.: Relationship between the speed-up factor and the number of points in P , under different λ' values (differently colored lines) and different regions to draw S (a-c) for the shape of French border).

Table 2.: Coefficients for regressions of the form $T_e = a|P|^b$ upon scalability (growth in execution time in seconds as a function of $|P|$), calculated under different λ' values and different regions to draw S for the shape of French border.

λ'		S inside $\chi(P, \lambda)$		S inside $conv(P)$ and outside $\chi(P, \lambda)$		S outside $conv(P)$	
		a	b	a	b	a	b
15	Original	1.11×10^{-4}	0.75	1.27×10^{-4}	0.74	1.18×10^{-4}	0.74
	Incremental	2.55×10^{-5}	0.07	1.15×10^{-5}	0.70	1.25×10^{-5}	0.70
10	Original	1.08×10^{-4}	0.73	1.09×10^{-4}	0.73	1.02×10^{-4}	0.73
	Incremental	2.69×10^{-5}	0.07	8.57×10^{-6}	0.72	9.56×10^{-6}	0.71
5	Original	8.43×10^{-5}	0.73	7.65×10^{-5}	0.74	7.81×10^{-5}	0.73
	Incremental	2.55×10^{-5}	0.07	5.89×10^{-6}	0.74	6.6×10^{-6}	0.73

4.2. Multi-point insertion

The single-point insertion experiment demonstrated the performance of the incremental χ -shape algorithm when handling the most simple type of insertion. The next experiment studied the efficiency of our algorithm under the increasing number of points to be inserted, i.e., as $|S|$ increases, as well as changing the spatial distribution of S .

4.2.1. Experimental design

The shape of letter “X” was used to generate the points in P in the multi-point experiment, as based on the single point insertion experiment, it was clear that strongest signal was achieved from more concave shapes. Also based on representative settings

459 from the single point experiment, the number of points in P was fixed to be $2^8 \times 10^2$;
 460 and λ' was set to be 10. The number of points to be inserted had ten incremental levels:
 461 $|S| \in \{2^2, 2^3, \dots, 2^{11}\}$.

462 The “X” shape contains four non-convex regions (gray regions in Figure 15). When
 463 the “X” shape is filled with adequate points, inserting points in one of the non-convex
 464 regions does not affect the DT in other non-convex regions. Hence we can control the
 465 extent to which the DT is changed by the inserted points through randomly drawing
 466 the inserted points from different numbers of the non-convex regions. In this experiment,
 467 inserted points were drawn from a single non-convex region (Region 1 in Figure 15), two
 468 non-convex regions (Region 1 and 2 in Figure 15), and four non-convex regions (Region
 469 1 – 4 in Figure 15). When inserted points were drawn from multiple regions, the same
 470 number of points was generated in each region.

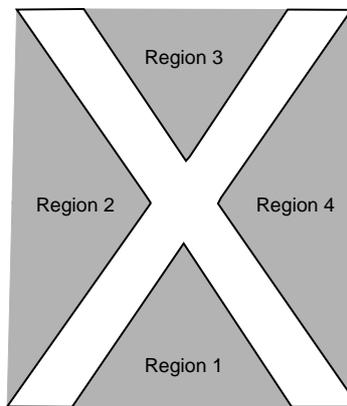


Figure 7.: Four non-convex regions of the shape of letter “X”.

471 As with the single-point insertion experiment, a full factorial experimental design was
 472 conducted. The response of the experiment was again the increase in speed relative
 473 to the (non-incremental) χ -shape algorithm. A total of 100 independent and random
 474 replications were tested for each combination of experimental settings.

475 4.2.2. *Experimental results*

476 Figure 16 illustrates how the median speed-up factor changed with the increasing
 477 number of points in S , and when points in S were drawn from different regions. As
 478 the number of points in S increased, more changes occurred in the DT, and therefore
 479 the speed-up factor decreased. Nevertheless, the incremental χ -shape algorithm was still
 480 twice as fast as the original χ -shape algorithm when more than one thousand points were
 481 inserted at once.

482 The distribution of the points in S also played a significant role on the efficacy of the
 483 incremental algorithm. As anticipated, the increase in speed was greatest when S was
 484 concentrated in a single non-convex region of the shape of “X”, because fewer triangles
 485 of the DT were affected in this circumstance. In contrast, when S occupied all the four
 486 non-convex regions of the shape of “X”, the largest number of triangles of the DT were
 487 affected, and consequently the increase in efficiency of the incremental algorithm was at
 488 its least.

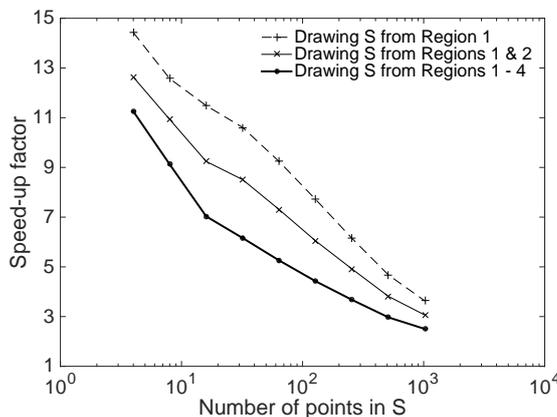


Figure 8.: Relationship between the speed-up factor and the number of points in S . Points in S were drawn from Region 1 (dashed line), Regions 1 & 2 (thinner solid line), and Regions 1 – 4 (thicker solid line) in Figure 15.

489 5. Discussion

490 The incremental χ -shape algorithm proposed in this paper was evaluated empirically
 491 against the original χ -shape algorithm, when dealing with a dynamic set of points.
 492 Two typical kinds of non-convex shapes, a highly non-convex letter shape and a less
 493 convex country shape, commonly used in existing literature to evaluate non-convex
 494 footprint algorithms, were tested in the single-point experiment. The incremental χ -shape
 495 algorithm yielded significant increases in efficiency for both kinds of non-convex shapes.
 496 The experimental results demonstrated that the more non-convex the distribution of
 497 input points, the greater the increase in efficiency afforded by the incremental algorithm.

498 The speed-up factor also increases with the increasing size of P . Hence the incremental
 499 χ -shape algorithm can be used to efficiently mine the spatial patterns of big dynamic
 500 sets of spatial points, such as social media data. The incremental χ -shape algorithm can
 501 also efficiently delete or insert multiple points at once. As might be expected from an
 502 incremental algorithm, the larger the number of points to be inserted or deleted at once,
 503 the lower the increase in efficiency when compared with the non-incremental algorithm.
 504 However, our incremental algorithm still provided increases in processing speed even
 505 when faced with thousands of points inserted at once. Such performance characteristics
 506 are important in stream processing, where batches of points rather than individual points
 507 may often need to be processed within a temporal window.

508 The incremental algorithm efficiency also depends on the distribution of inserted points.
 509 Each non-leaf branch attached to the root of the χ -tree captures a distinct non-convex
 510 subregion (between χ -shape and convex hull) of the distribution of the input points.
 511 The multi-point experiment demonstrated that the incremental χ -shape algorithm works
 512 better when fewer such branches are affected by the points to be inserted or deleted. For
 513 dynamic, evolving regions, expansion may frequently be in just one direction between two
 514 consecutive epochs. In such cases, fewer non-convex regions are likely to be affected, and
 515 the benefits of the incremental algorithm increased. In contrast, a spatial region expand in
 516 all directions simultaneously, is likely to require insertion or deletion in multiple branches
 517 of the tree (subregions), with likely lesser improvements in performance. However, many
 518 real-life spatial events may be expected to exhibit anisotropic change, as in the former
 519 case. Wildfires, for example, typically propagate in one direction (decided by wind
 520 direction, topography, and fuel conditions) over shorter timescales of minutes to hours.

521 **6. Conclusion**

522 An incremental algorithm was proposed in this paper for constructing χ -shapes for
523 dynamic, streaming sets of points. When a large dynamic set of points adds or removes a
524 relatively small number of points, the χ -shape does not need to be recomputed entirely.
525 By representing the χ -shape as a χ -tree, our incremental algorithm can make minimum
526 modifications to the χ -tree and obtain the new χ -shape more efficiently as new points
527 are inserted or deleted.

528 Our evaluation demonstrated experimentally how the efficiency of our new incremental
529 algorithm is affected by factors including the size of the dynamic data set, the distribution
530 and the size of the inserted or deleted set of points, and the parameterization of the
531 χ -shape. These results provide a guide to the expected increase in performance of our
532 incremental algorithm in a range of circumstances.

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Algorithm 1 χ -shape algorithm with preparation for future insertion or deletion

Require: A finite set of two-dimensional points $P \subset \mathbb{R} \times \mathbb{R}$ and one parameter $\lambda \in \mathbb{R}$

- 1: Construct the Delaunay triangulation $DT(P)$ of P
 - 2: $\Delta \leftarrow DT(P)$
 - 3: Construct the list B of exterior edges of $DT(P)$
 - 4: Sort the list B in descending order of edge length
 - 5: Initialize the v -boundary function
 - 6: Set the root (r) of $\mathbf{T}_\chi(P, \lambda)$ to be $\{\text{edge} = \emptyset, \text{oppositeVertex} = \emptyset, \text{length} = \emptyset\}$
 - 7: Construct the list of parent nodes (PN) for the elements in B
 - 8: Set each element in PN to be r
 - 9: $O(P, \lambda) \leftarrow \emptyset$
 - 10: **while** B is not empty **do**
 - 11: $e = \{d_1, d_2\} \leftarrow \text{pop}(B)$
 - 12: $p \leftarrow \text{pop}(PN)$
 - 13: $o \leftarrow$ opposite vertex of e in Δ
 - 14: $N \leftarrow \{\text{edge} = e, \text{oppositeVertex} = o, \text{length} = \|e\|\}$
 - 15: Insert N in $\mathbf{T}_\chi(P, \lambda)$ as a child of p
 - 16: $\text{node}(d_1) \leftarrow N$
 - 17: $\text{node}(d_2) \leftarrow N$
 - 18: Append N to $O(P, \lambda)$
 - 19: **if** $\|e\| > \lambda$ **and** $v\text{-boundary}(o) = \text{false}$ **then**
 - 20: Remove e from Δ
 - 21: $v\text{-boundary}(o) = \text{true}$
 - 22: Insert the arms of e in Δ into B in order of edge length
 - 23: Insert N into PN at the corresponding position of the arms of e in B
 - 24: **end if**
 - 25: **end while**
 - 26: **Return** $\chi(P, \lambda)$ formed by leaves of $\mathbf{T}_\chi(P, \lambda)$, $DT(P)$, $\mathbf{T}_\chi(P, \lambda)$ and $O(P, \lambda)$
-

Algorithm 2 Incremental χ -shape algorithm (insertion)

Require: A finite set of two-dimensional points $P \subset \mathbb{R} \times \mathbb{R}$, a finite set of two-dimensional points $S \notin P$ to be inserted, one parameter $\lambda \in \mathbb{R}$, $DT(P)$, $\mathbf{T}_\chi(P, \lambda)$ and $O(P, \lambda)$

```

1: Calculate  $DT(P \cup S)$  incrementally from  $DT(P)$  and obtain  $\bar{\Delta}_S$ ,  $\Delta_S$  and  $E_S^b$ 
2:  $N_d \leftarrow \{N \mid N = \text{node}(e), e \text{ is on } \bar{\Delta}_S, N.\text{oppositeVertex} \text{ is on } \bar{\Delta}_S\}$ 
3: if  $N_d = \emptyset$  and  $E_S^b = \emptyset$  then
4:   Return  $DT(P \cup S)$ ,  $\mathbf{T}_\chi(P \cup S, \lambda) \leftarrow \mathbf{T}_\chi(P, \lambda)$ ,  $O(P \cup S, \lambda) \leftarrow O(P, \lambda)$ 
5: end if
6: for all  $N \in N_d$  do
7:   if  $N.\text{edge}$  is on the boundary of  $\Delta_S$  then
8:      $N.\text{oppositeVertex} \leftarrow$  opposite vertex of  $N.\text{edge}$  in  $\Delta_S$ 
9:     Disconnect the children of  $N$  from  $N$  if  $N$  is an internal node
10:   else
11:      $\text{node}(N.\text{edge}) \leftarrow \text{null}$ 
12:     Delete  $N$  from  $\mathbf{T}_\chi(P, \lambda)$  and  $O(P, \lambda)$ 
13:   end if
14: end for
15:  $W \leftarrow \emptyset$ 
16:  $E_{\Delta_S}^b \leftarrow \{e \mid e \in \Delta_S, e \text{ is an internal edge of } DT(P \cup S) \text{ and an exterior edge of } DT(P)\}$ 
17: Disconnect  $\text{node}(E_{\Delta_S}^b)$  from the null root of  $\mathbf{T}_\chi(P, \lambda)$ 
18: for all  $e \in E_S^b$  do
19:    $o \leftarrow$  opposite vertex of  $e$  in  $DT(P \cup S)$ 
20:    $N \leftarrow \{\text{edge} = e, \text{oppositeVertex} = o, \text{length} = \|e\|\}$ 
21:   Insert  $N$  into  $\mathbf{T}_\chi(P, \lambda)$  as a child of the null root of  $\mathbf{T}_\chi(P, \lambda)$  and into  $W$  in descending order of length
22:    $\text{node}(e) \leftarrow N$ 
23: end for
24:  $N \leftarrow \text{head}(O)$ 
25: while  $N.\text{next} \neq \text{null}$  do
26:   if  $W \neq \emptyset$  and  $N.\text{length} < \text{head}(W).\text{length}$  then
27:     Insert  $\text{head}(W)$  into  $O(P, \lambda)$  before  $N$ 
28:      $N \leftarrow \text{pop}(W)$ 
29:   end if
30:   if  $N.\text{parent} = \text{null}$  then
31:      $N_b \leftarrow N$  and its descendants
32:      $\text{node}(N_b.\text{edge}) \leftarrow \text{null}$ 
33:     Delete  $N_b$  from  $\mathbf{T}_\chi(P, \lambda)$  and  $O(P, \lambda)$ 
34:   end if
35:   if  $N.\text{length} > \lambda$  then
36:     if  $N$  is an internal node and  $v\text{-boundary}(N.\text{oppositeVertex}) = \text{false}$  then
37:        $v\text{-boundary}(N.\text{oppositeVertex}) = \text{true}$ 
38:     else if  $N$  is an internal node and  $v\text{-boundary}(N.\text{oppositeVertex}) = \text{true}$  then
39:        $N_b \leftarrow N$  and its descendants
40:        $\text{node}(N_b.\text{edge}) \leftarrow \text{null}$ 
41:       Delete  $N_b$  from  $\mathbf{T}_\chi(P, \lambda)$  and  $O(P, \lambda)$ 
42:     else if  $N$  is a leaf and  $v\text{-boundary}(N.\text{oppositeVertex}) = \text{false}$  then
43:        $v\text{-boundary}(N.\text{oppositeVertex}) = \text{true}$ 
44:        $A \leftarrow$  arms of  $N.\text{edge}$  using Equation 1
45:       for all  $e \in A$  do
46:         if  $\text{node}(e) \neq \text{null}$  and  $\text{node}(e).\text{oppositeVertex}$  is not on  $N.\text{edge}$  then
47:           Connect  $\text{node}(e)$  to  $N$  as a child of  $N$ 
48:         else
49:            $o \leftarrow$  opposite vertex of  $e$  using Eq 2
50:            $N' \leftarrow \{\text{edge} = e, \text{oppositeVertex} = o, \text{length} = \|e\|\}$ 
51:           Insert  $N'$  into  $\mathbf{T}_\chi(P, \lambda)$  as a child of  $N$  and into  $W$  in descending order of length
52:            $\text{node}(e) \leftarrow N'$ 
53:         end if
54:       end for
55:     end if
56:   end if
57:    $N \leftarrow N.\text{next}$ 
58: end while
59: Return  $DT(P \cup S)$ ,  $\mathbf{T}_\chi(P \cup S, \lambda) \leftarrow \mathbf{T}_\chi(P, \lambda)$ ,  $O(P \cup S, \lambda) \leftarrow O(P, \lambda)$ 

```

Algorithm 3 Incremental χ -shape algorithm (deletion)

Require: A finite set of two-dimensional points $P \subset \mathbb{R} \times \mathbb{R}$, a finite set of two-dimensional points $S \in P$ to be deleted, one parameter $\lambda \in \mathbb{R}$, $DT(P)$, $\mathbf{T}_\chi(P, \lambda)$ and $O(P, \lambda)$

- 1: Calculate $DT(P \setminus S)$ incrementally from $DT(P)$ and obtain $\bar{\Delta}_S$, Δ_S , E_S^{b1} and E_S^{b2}
- 2: $N_d \leftarrow \{N \mid N = \text{node}(e), e \text{ is on } \bar{\Delta}_S, N.\text{oppositeVertex} \text{ is on } \bar{\Delta}_S\}$
- 3: **if** $N_d = \emptyset$ **and** $E_S^{b1} = \emptyset$ **and** $E_S^{b2} = \emptyset$ **then**
- 4: **Return** $DT(P \setminus S)$, $\mathbf{T}_\chi(P \setminus S, \lambda) \leftarrow \mathbf{T}_\chi(P, \lambda)$, $O(P \setminus S, \lambda) \leftarrow O(P, \lambda)$
- 5: **end if**
- 6: Lines 6 – 14 in Algorithm 2
- 7: $W \leftarrow \emptyset$
- 8: **for all** $e \in E_S^{b1}$ **do**
- 9: **if** $\text{node}(e) = \text{null}$ **then**
- 10: Connect $\text{node}(e)$ to the null root of $\mathbf{T}_\chi(P, \lambda)$ as one of its children
- 11: **else**
- 12: $o \leftarrow$ opposite vertex of e in $DT(P \setminus S)$
- 13: $N \leftarrow \{\text{edge} = e, \text{oppositeVertex} = o, \text{length} = \|e\|\}$
- 14: Insert N into $\mathbf{T}_\chi(P, \lambda)$ as a child of the null root of $\mathbf{T}_\chi(P, \lambda)$ and into W in descending order of length
- 15: $\text{node}(e) \leftarrow N$
- 16: **end if**
- 17: **end for**
- 18: **for all** $e \in E_S^{b2}$ **do**
- 19: $o \leftarrow$ opposite vertex of e in $DT(P \setminus S)$
- 20: $N \leftarrow \{\text{edge} = e, \text{oppositeVertex} = o, \text{length} = \|e\|\}$
- 21: Insert N into $\mathbf{T}_\chi(P, \lambda)$ as a child of the null root of $\mathbf{T}_\chi(P, \lambda)$ and into W in descending order of length
- 22: $\text{node}(e) \leftarrow N$
- 23: **end for**
- 24: Lines 24 – 58 in Algorithm 2
- 25: **Return** $DT(P \setminus S)$, $\mathbf{T}_\chi(P \setminus S, \lambda) \leftarrow \mathbf{T}_\chi(P, \lambda)$, $O(P \setminus S, \lambda) \leftarrow O(P, \lambda)$

Table 3.: Coefficients for regressions of the form $T_e = a|P|^b$ upon scalability (growth in execution time in seconds as a function of $|P|$), calculated under different λ' values and different regions to draw S for the shape of letter “X”.

λ'		S inside $\chi(P, \lambda)$		S inside $conv(P)$ and outside $\chi(P, \lambda)$		S outside $conv(P)$	
		a	b	a	b	a	b
15	Original	3.5×10^{-4}	0.69	3.68×10^{-4}	0.68	3.36×10^{-4}	0.69
	Incremental	3.11×10^{-5}	0.05	3.95×10^{-5}	0.62	3.82×10^{-5}	0.62
10	Original	3.1×10^{-4}	0.67	2.97×10^{-4}	0.68	2.9×10^{-4}	0.68
	Incremental	2.97×10^{-5}	0.05	2.97×10^{-5}	0.63	2.6×10^{-5}	0.64
5	Original	2.16×10^{-4}	0.68	2.19×10^{-4}	0.68	2.13×10^{-4}	0.68
	Incremental	2.61×10^{-5}	0.07	1.81×10^{-5}	0.66	1.81×10^{-5}	0.66

Table 4.: Coefficients for regressions of the form $T_e = a|P|^b$ upon scalability (growth in execution time in seconds as a function of $|P|$), calculated under different λ' values and different regions to draw S for the shape of French border.

λ'		S inside $\chi(P, \lambda)$		S inside $conv(P)$ and outside $\chi(P, \lambda)$		S outside $conv(P)$	
		a	b	a	b	a	b
15	Original	1.11×10^{-4}	0.75	1.27×10^{-4}	0.74	1.18×10^{-4}	0.74
	Incremental	2.55×10^{-5}	0.07	1.15×10^{-5}	0.70	1.25×10^{-5}	0.70
10	Original	1.08×10^{-4}	0.73	1.09×10^{-4}	0.73	1.02×10^{-4}	0.73
	Incremental	2.69×10^{-5}	0.07	8.57×10^{-6}	0.72	9.56×10^{-6}	0.71
5	Original	8.43×10^{-5}	0.73	7.65×10^{-5}	0.74	7.81×10^{-5}	0.73
	Incremental	2.55×10^{-5}	0.07	5.89×10^{-6}	0.74	6.6×10^{-6}	0.73

Figure 9.: Examples of adjacent triangle, arms, opposite vertex, and darts in a triangulation.

(a) An example χ -shape (b) The χ -tree of the χ -shape (a)

Figure 10.: The χ -tree (b) of an example χ -shape (a). Labeled nodes in the χ -tree (b) correspond to edges of the χ -shape (a). The leaves of the χ -tree form the χ -shape (thicker edges) shown in (a).

(a) Before insertion of S (b) After insertion of S

Figure 11.: Changes to DT caused by inserting a set of points S into P .

(a) letter “X” (b) border of France

Figure 12.: In the experiments, the points in P (dots) are drawn from two non-convex shapes (gray regions): (a) shape of letter “X” and (b) shape of French border. The χ -shapes of the above points are depicted by the polygons in solid line.

(a) S inside $\chi(P, \lambda)$ (b) S inside $conv(P)$ and outside $\chi(P, \lambda)$ (c) S outside $conv(P)$

Figure 13.: Relationship between the speed-up factor and the number of points in P , under different λ' values (differently colored lines) and different regions to draw S ((a): S inside $\chi(P, \lambda)$, (b): S inside $conv(P)$ and outside $\chi(P, \lambda)$, (c): S outside $conv(P)$) for the shape of letter “X”.

(a) S inside $\chi(P, \lambda)$ (b) S inside $conv(P)$ and outside $\chi(P, \lambda)$ (c) S outside $conv(P)$

Figure 14.: Relationship between the speed-up factor and the number of points in P , under different λ' values (differently colored lines) and different regions to draw S ((a): S inside $\chi(P, \lambda)$, (b): S inside $conv(P)$ and outside $\chi(P, \lambda)$, (c): S outside $conv(P)$) for the shape of French border.

Figure 15.: Four non-convex regions of the shape of letter “X”.

Figure 16.: Relationship between the speed-up factor and the number of points in S . Points in S were drawn from Region 1 (dashed line), Regions 1 & 2 (thinner solid line), and Regions 1 – 4 (thicker solid line) in Figure 15.